1. Meeting Minutes



JISC DATA DISSEMINATION COMMITTEE Friday, April 26, 2024, 9:00 a.m. – 10:00 a.m. Zoom Teleconference URL: provided via invite

MEETING MINUTES

Members Present:

Judge John Hart, Chair Judge Valerie Bouffiou Ms. Stephanie Kraft Judge David Mann Judge Robert Olson Ms. Heidi Percy Judge Allyson Zipp

AOC Staff Present:

Kevin Cottingham, Data Dissemination Administrator (DDA) Michael Keeling, ISD Operations Manager Jan Nutting, Public Records Officer Maureen Roberts, MSD Administrative Secretary

Guests Present:

Tammie Ownbey, Pend Oreille County Clerk

Call to Order

Judge Hart called the meeting to order at 9:02 a.m. and welcomed all participants.

1) Approval of Minutes

Motion: Judge Hart moved to approved the February 23, 2024 minutes. There was a second. The motion passed unanimously.

2) Updates to standard Data Dissemination Agreement

DDA Cottingham shared the proposed amendments to the agreement, continuing from the previous meeting, and explained that "logical security" refers to technical access restrictions.

Motion: Judge Mann moved to approve the amendments to Data Dissemination Agreement. Judge Zipp seconded. The motion passed unanimously.

3) Updates to the Courts of Limited Jurisdiction Retention Schedules

DDA Cottingham presented the Courts of Limited Jurisdiction retention schedule, which was last updated in 2016. The Secretary of State's Office recently approved an update to the statewide schedules, with a large change being that cases containing domestic violence case information must be retained for 100 years. DDA Cottingham stated that the DDC needs to update the JIS retention schedules to comply or require individual courts to retain records.

Ms. Percy asked for clarification regarding the DDC's role, and DDA Cottingham stated that the DDC was responsible for approving changes to the JIS retention schedules that comply with the Secretary of State's, and that the DDC has no impact on court-held local records. Judge Hart asked volunteers for CLJ retention schedule workgroup. Judge Bouffiou and Judge Hart

volunteered for subcommittee, and DDA Cottingham noted that Ms. Revior already volunteered to participate via email.

4) Other Business

Judge Hart reminded everyone to remain muted but have their camera on for participation in the JISC, per new guidelines. Ms. Percy suggested that the group have some onboarding presentations as most of the committee members are new. DDA Cottingham gave an overview of the bylaws and roles of various JABS and JIS users groups, and stated that a more comprehensive introduction will be on a future agenda.

Judge Hart inquired about other business. Hearing none, he expressed sincere appreciation for the dedication of the Committee and adjourned the meeting at 9:25 a.m.



2. Overview of DDC Responsibilities





DDC Overview & Training

Kevin Cottingham August 23, 2024

State of the JIS

- GR 31: The judicial information system is the automated, centralized, statewide information system that serves the state courts
- AOC-maintained systems: new (Odyssey, CLJ-CMS) and old (SCOMIS, DISCIS), ACORDS
- Locally-maintained systems: KC-Script, LINX, some district and municipal courts



Enterprise Data Repository (EDR)

- Database into which all court records are fed
- Supplies records into statewide data warehouse (SDW), used for statewide reporting
 - Supplies public records, data feeds to other agencies, etc.



Access to JIS

- AOC-maintained systems: JIS-Link, JABS, Odyssey Portal
 - JIS-Link: public information for public case types
 - JABS (for external entities): depends on access type, but generally speaking, public and non-public information on public case types
 - Prosecutors: access to minimal information on sealed juvenile offender cases, per RCW 13.50.260(8)(c)
- Locally-maintained systems: KC-Script, LINX, etc.
- Generally speaking, access provided by AOC-maintained applications has been for court data, but as technology advances, documents are being provided



Data Dissemination Committee

- DDC created to govern matters of sending court data outside courts
- Data Dissemination: the reporting or other release of information derived from JIS records (DD policy)
 - Dissemination contract: an agreement between a court record provider and any person or entity, except a Washington State court (Supreme Court, court of appeals, superior court, district court or municipal court), that is provided court records. (GR 31(c)(6))



DDC Purview

- DDC established by Article 7 of the JISC Bylaws
- Six enumerated powers, first four most important:
 - 1. Review and act on requests for access to the JIS by non-court users in cases not covered by existing statute, court rule or JIS policy.
 - 2. Hear appeals on administrative denials of requests for access to the JIS or for dissemination of JIS data.
 - 3. Recommend to the JIS Committee policy on access to the JIS.
 - 4. Recommend to the JIS Committee changes to statutes and court rules regarding access to court records.



Requests for Access to the JIS

- Most frequent issue before DDC
- Generally for level 20 access (public cases but contains address information)
- Diversion groups, legal aid clinics, etc.
- Generally speaking, two motivating factors:
 - Cost
 - Ease of JABS use (groups all WIP cases together on one screen)



Data Dissemination Policy, Section IX.C.

- C. Agencies requesting additional access under this provision shall identify the information requested and the proposed use(s). In reviewing such requests, the courts, the county clerks' offices, and the [DDC] will consider such criteria as:
 - 1. The extent to which access will result in efficiencies in the operation of a court or courts.
 - 2. The extent to which access will enable the fulfillment of a legislative mandate.
 - 3. The extent to which access will result in efficiencies in other parts of the criminal justice system.
 - 4. The risks created by permitting such access



Appeals on Administrative Denials

- AOC receives requests for bulk court data from state agencies, members of the public, researchers, etc.
- 99% of denials are worked out before any such appeal is necessary
- Example in recent history: Harvard researchers requested feewaived JIS-Link account



JISCR 15(f) & GR 31(g)(4)

- f) Criteria To Determine Release of Data. The criteria against which the applications are evaluated are as follows:
 - 1) Availability of data;
 - 2) Specificity of the request;
 - 3) Potential for infringement of personal privacy created by release of the information requested;
 - 4) Potential disruption to the internal, ongoing business of the courts
- g) (4) The use of court records, distributed in bulk form, for the purpose of commercial solicitation of individuals named in the court records is prohibited



Recommend Policy to JISC

- Data Dissemination policy
- Adopted 1995. Revised 8 times.
- Additional protections beyond those in statute or rule; tends to anticipate trends better than other bodies
 - Addresses afforded protection through DD Policy
 - Limitations on dissemination of juvenile offender case data



Recommend Changes to Statutes and Court Rules

 Recent change to GR 15 to better explain protections on juvenile records



Other Powers

- 5. Request written opinions of the Washington State Office of the Attorney General through the State Court Administrator on questions of law related to access to and dissemination of JIS data.
- 6. Other powers as assigned by the JISC.



Miscellaneous

- WA constitution art. 1, section 10: Justice in all cases shall be administered openly, and without unnecessary delay.
- GR 31: The public shall have access to all court records except as restricted by federal law, state law, court rule, court order, or case law
- No affirmative duty
- Privacy is a moving target
- DDC is a policy-making body



JUDICIAL INFORMATION SYSTEM COMMITTEE BYLAWS As amended June 25, 2021

Article Seven - Data Dissemination Committee

Section 1: Purpose - The Judicial Information System Committee's (JISC) Data Dissemination Committee is created to act on behalf of the entire JISC to address issues with respect to access to the Judicial Information System and the dissemination of information from it.

Section 2: Powers and Responsibilities - The Data Dissemination Committee shall have the power and responsibility to act only on the following matters:

- 1. Review and act on requests for access to the JIS by non-court users in cases not covered by existing statute, court rule or JIS policy.
- 2. Hear appeals on administrative denials of requests for access to the JIS or for dissemination of JIS data.
- 3. Recommend to the JIS Committee policy on access to the JIS.
- 4. Recommend to the JIS Committee changes to statutes and court rules regarding access to court records.
- 5. Request written opinions of the Washington State Office of the Attorney General through the State Court Administrator on questions of law related to access to and dissemination of JIS data.
- 6. Other powers as assigned by the JISC.

Section 3: Composition and Leadership - The Data Dissemination Committee membership shall consist of the following drawn from the membership of the JIS Committee, appointed by the JISC Chair:

- The JISC Vice Chair
- Two superior court judges
- Two court of limited jurisdiction judges
- A county clerk
- An appellate court representative
- A superior court or juvenile court administrator
- A member of the District and Municipal Court Management Association

The JISC Vice Chair shall be the Data Dissemination Committee Chair.

Section 4: Voting - Each member of the Data Dissemination Committee is entitled to one vote. Members present shall be a quorum. Majority vote shall decide all issues.

Section 5: Meetings - The Data Dissemination Committee shall meet bi-monthly. The chair may, at his or her discretion, cancel a meeting. The chair may call a special meeting at any time. Notice of a special meeting must be given at least twenty-four hours before the time of such meeting as specified in the notice. The notice shall specify the time and place of the special meeting and the business to be transacted.

GR 31 ACCESS TO COURT RECORDS

- (a) Policy and Purpose. It is the policy of the courts to facilitate access to court records as provided by Article I, Section 10 of the Washington State Constitution. Access to court records is not absolute and shall be consistent with reasonable expectations of personal privacy as provided by Article I, Section 7 of the Washington State Constitution and shall not unduly burden the business of the courts.
- **(b) Scope.** This rule applies to all court records, regardless of the physical form of the court record, the method of recording the court record or the method of storage of the court record. Administrative records are not within the scope of this rule. Court records are further governed by GR 22.

(c) Definitions.

- (1) "Access" means the ability to view or obtain a copy of a court record.
- (2) "Administrative record" means any record pertaining to the management, supervision or administration of the judicial branch, including any court, board, or committee appointed by or under the direction of any court or other entity within the judicial branch, or the office of any county clerk.
- (3) "Bulk distribution" means distribution of all, or a significant subset, of the information in court records, as is and without modification.
- (4) "Court record" includes, but is not limited to: (i) Any document, information, exhibit, or other thing that is maintained by a court in connection with a judicial proceeding, and (ii) Any index, calendar, docket, register of actions, official record of the proceedings, order, decree, judgment, minute, and any information in a case management system created or prepared by the court that is related to a judicial proceeding. Court record does not include data maintained by or for a judge pertaining to a particular case or party, such as personal notes and communications, memoranda, drafts, or other working papers; or information gathered, maintained, or stored by a government agency or other entity to which the court has access but which is not entered into the record.
- (5) "Criminal justice agencies" are government agencies that perform criminal justice functions pursuant to statute or executive order and that allocate a substantial part of their annual budget to those functions.
- (6) "Dissemination contract" means an agreement between a court record provider and any person or entity, except a Washington State court (Supreme Court, court of appeals, superior court, district court or municipal court), that is provided court records. The essential elements of a dissemination contract shall be promulgated by the JIS Committee.
- (7) "Judicial Information System (JIS) Committee" is the committee with oversight of the statewide judicial information system. The judicial information system is the automated, centralized, statewide information system that serves the state courts.
- (8) "Judge" means a judicial officer as defined in the Code of Judicial Conduct (CJC) Application of the Code of Judicial Conduct Section (A).

- (9) "Public" includes an individual, partnership, joint venture, public or private corporation, association, federal, state, or local governmental entity or agency, however constituted, or any other organization or group of persons, however organized.
- (10) "Public purpose agency" means governmental agencies included in the definition of "agency" in RCW 42.17.020 and other non-profit organizations whose principal function is to provide services to the public.

(d) Access.

- (1) The public shall have access to all court records except as restricted by federal law, state law, court rule, court order, or case law.
- (2) Information from an official juvenile offender court record shall not be displayed on a publicly accessible website, consistent with the JISC Policy*. This rule does not preclude accessing the record, digital or otherwise, from a county clerk's office location.
 - *[JISC policy: Limitation on Dissemination of Juvenile Offender Court Records. ** The dissemination of juvenile offender court records maintained in the Judicial Information System shall be limited as follows:
 - A. Juvenile offender court records shall be excluded from any bulk distribution of JIS records by the AOC otherwise authorized by GR 31(g), except for research purposes as permitted by statute or court rule.
 - B. The AOC shall not display any information from an official juvenile offender court record on a publicly accessible website that is a statewide index of court cases.
 - **Juvenile offender court records shall remain publicly accessible on the JIS Link notwithstanding any provision of this section. (Section added September 6, 2013.)]
- (3) Each court by action of a majority of the judges may from time to time make and amend local rules governing access to court records not inconsistent with this rule.
 - (4) A fee may not be charged to view court records at the courthouse.

(e) Personal Identifiers Omitted or Redacted from Court Records.

- (1) Except as otherwise provided in GR 22, parties shall not include, and if present shall redact, the following personal identifiers from all documents filed with the court, whether filed electronically or in paper, unless necessary or otherwise ordered by the Court.
- (A) Social Security Numbers. If the Social Security Number of an individual must be included in a document, only the last four digits of that number shall be used.
- (B) Financial Account Numbers. If financial account numbers are relevant, only the last four digits shall be recited in the document.
 - (C) Driver's License Numbers.
- (2) The responsibility for redacting these personal identifiers rests solely with counsel and the parties. The Court, the Clerk, and the Administrative Office of the Courts will not review each pleading for compliance with this rule. If a pleading is filed without redaction, the opposing party or identified person may move the Court to order redaction. The court may

award the prevailing party reasonable expenses, including attorney fees and court costs, incurred in making or opposing the motion.

Comment

This rule does not require any party, attorney, clerk, or judicial officer to redact information from a court record that was filed prior to the adoption of this rule.

(f) Distribution of Court Records Not Publicly Accessible.

- (1) A public purpose agency may request court records not publicly accessible for scholarly, governmental, or research purposes where the identification of specific individuals is ancillary to the purpose of the inquiry. In order to grant such requests, the court or the Administrator for the Courts must:
- (A) Consider: (i) the extent to which access will result in efficiencies in the operation of the judiciary; (ii) the extent to which access will fulfill a legislative mandate; (iii) the extent to which access will result in efficiencies in other parts of the justice system; and (iv) the risks created by permitting the access.
 - (B) Determine, in its discretion, that filling the request will not violate this rule.
- (C) Determine the minimum access to restricted court records necessary for the purpose is provided to the requestor.
- (D) Assure that prior to the release of court records under section (f) (1), the requestor has executed a dissemination contract that includes terms and conditions which: (i) require the requester to specify provisions for the secure protection of any data that is confidential; (ii) prohibit the disclosure of data in any form which identifies an individual; (iii) prohibit the copying, duplication, or dissemination of information or data provided other than for the stated purpose; and (iv) maintain a log of any distribution of court records which will be open and available for audit by the court or the Administrator of the Courts. Any audit should verify that the court records are being appropriately used and in a manner consistent with this rule.
- (2) Courts, court employees, clerks and clerk employees, and the Commission on Judicial Conduct may access and use court records only for the purpose of conducting official court business.
 - (3) Criminal justice agencies may request court records not publicly accessible.
- (A) The provider of court records shall approve the access level and permitted use for classes of criminal justice agencies including, but not limited to, law enforcement, prosecutors, and corrections. An agency that is not included in a class may request access.
- (B) Agencies requesting access under this section of the rule shall identify the court records requested and the proposed use for the court records.
- (C) Access by criminal justice agencies shall be governed by a dissemination contract. The contract shall: (i) specify the data to which access is granted, (ii) specify the uses which the agency will make of the data, and (iii) include the agency's agreement that its employees will access the data only for the uses specified.

(g) Bulk Distribution of Court Records.

- (1) A dissemination contract and disclaimer approved by the JIS Committee for JIS records or a dissemination contract and disclaimer approved by the court clerk for local records must accompany all bulk distribution of court records.
- (2) Dissemination contracts shall not include the dissemination or distribution of juvenile courts records.
- (3) A request for bulk distribution of court records may be denied if providing the information will create an undue burden on court or court clerk operations because of the amount of equipment, materials, staff time, computer time or other resources required to satisfy the request.
- (4) The use of court records, distributed in bulk form, for the purpose of commercial solicitation of individuals named in the court records is prohibited.
- (5) The Administrator for the Courts is not responsible for the content of any court documents published through the JIS.
- **(h) Appeals.** Appeals of denials of access to JIS records maintained at state level shall be governed by the rules and policies established by the JIS Committee.
- (i) Notice. The Administrator for the Courts shall develop a method to notify the public of access to court records and the restrictions on access.
- (j) Access to Juror Information. Individual juror information, other than name, is presumed to be private. After the conclusion of a jury trial, the attorney for a party, or party pro se, or member of the public, may petition the trial court for access to individual juror information under the control of court. Upon a showing of good cause, the court may permit the petitioner to have access to relevant information. The court may require that juror information not be disclosed to other persons.
- **(k)** Access to Master Jury Source List. Master jury source list information, other than name and address, is presumed to be private. Upon a showing of good cause, the court may permit a petitioner to have access to relevant information from the list. The court may require that the information not be disclosed to other persons.

[Adopted effective October 26, 2004; Amended effective January 3, 2006; May 15, 2023; June 27, 2023.]

Data Dissemination Policy

- AUTHORITY AND SCOPE
- DEFINITIONS
- ACCESS TO JIS LEGAL RECORDS
- JIS PRIVACY AND CONFIDENTIALITY POLICIES
- <u>LIMITATION ON DISSEMINATION OF JUVENILE OFFENDER COURT</u> RECORDS
- PROCEDURES
- ACCESS TO AND USE OF DATA BY COURTS
- ACCESS TO AND USE OF DATA BY CRIMINAL JUSTICE AGENCIES
- ACCESS TO AND USE OF DATA BY PUBLIC PURPOSE AGENCIES
- VERSION HISTORY

I. AUTHORITY AND SCOPE

- A. This policy governs the release of information from the case management systems maintained by the Administrative Office of the Courts (AOC) that include the Judicial Information System (JIS), the Superior Court Management Information System (SCOMIS), the Appellate Court System (ACORDS) and Odyssey. It also includes data collected by AOC from other court case management systems. The policy is approved by the Judicial Information System Committee (JISC), pursuant to the Judicial Information System Committee Rule (JISCR) 12 and JISCR 15(d), and applies to all requests for computer-based court information subject to JISCR 15.
- B. This policy is to be administered in the context of the requirement of Article I, § 10 of the Constitution of the State of Washington that states: "Justice in all cases shall be administered openly, and without unnecessary delay," as well as the privacy protections of Article I, § 7, and General Rule (GR) 31.
- C. This policy does not apply to requests initiated by or with the consent of the State Court Administrator or his/her designee for the purpose of answering a request vital to the internal business of the courts. See JISCR 15(a).
- D. This policy does not apply to documents filed with the local courts and county clerks' offices.

II. DEFINITIONS

A. "**JIS**" is the acronym for "Judicial Information System" and as used in this policy represents all the case management systems that the AOC currently maintains.

B. "JIS record" is an electronic representation of information stored within, or derived from the case management systems that the AOC maintains. It is programmed to be available in readable and retrievable form.

C. JIS Reports

- 1. "JIS reports" are the results of special programs written to retrieve and manipulate JIS records into a readable form. It includes, but is not limited to, index reports, compiled aggregate numbers, and statistics.
- 2. "Index reports" are reports containing bulk court data with set data elements.
- 3. "Compiled aggregate numbers" are JIS reports containing only total numerical quantities without case level data elements.
- 4. "Routine summary reports" are JIS reports automatically generated by courts, county clerks' offices, or the AOC during the course of daily business.

D. Data Dissemination Management

- 1. "**Data dissemination**" is the reporting or other release of information derived from JIS records.
- 2. "Data dissemination administrator" is the individual designated within the AOC and within each individual court or county clerk's office, who is assigned the responsibility of administration of data dissemination, including responding to requests of the public, other governmental agencies, or other participants in the judicial information system. Courts and county clerks' offices may use multiple staff to satisfy this role.

E. Data Dissemination Contract

The "data dissemination contract" is an agreement between a county clerk's office, a Washington state court, or the AOC and any non-Washington state court entity for release of data contained in the JIS. The data dissemination contract shall specify terms and conditions, as approved by the JISC, concerning the data including but not limited to restrictions, obligations, and cost recovery fees.

F. Well Identified Person

"Well identified person" is defined for the purposes of this policy as an individual whose name and address are entered into the case management system with the possible addition of a date of birth, driver's license number, the state criminal identification (SID) number, or the Department of Corrections (DOC) number.

III. ACCESS TO JIS RECORDS

A. Access to and release of JIS data will be consistent with Article I, § 10 of the Constitution of the State of Washington, GR 31, and Washington state statutes. Statutes, court rules, case law, and policy guidelines that protect

individual privacy and confidential court records shall be adhered to when JIS records or JIS reports are disseminated. All access to JIS records and JIS reports is subject to the requirements of the criteria for release of data specified in JISCR 15(f): availability of data, specificity of the request, potential for infringement of personal privacy created by release of the information requested, and potential disruption to the internal ongoing business of the courts. JIS records or JIS reports provided in electronic format shall be subject to provisions contained in the data dissemination contract.

- B. Privacy protections accorded by the United States Congress and by the Washington State Legislature to records held by other state agencies are to be applied to requests for JIS records or JIS reports, unless such record is a "court record" as defined in GR 31 and access is controlled by GR 31(d) and GR 31(e).
- C. Contact Lists: The use of JIS records or JIS reports for the purpose of commercial solicitation of individuals named in the court records is prohibited. Requests for JIS data for this purpose will be denied.
- D. Court and county clerk data dissemination administrators will restrict the public dissemination of JIS reports to data related to the administrator's particular court, or court operations subject to the supervision of that court. A court or county clerk may disseminate a report or data summarizing an individual's case history.
- E. Courts and county clerks' offices may direct requestors to the AOC if the request falls under GR 31(g)(2) and creates an undue burden on the court's or the county clerk's operations because of the amount of equipment, materials, staff time, computer time or other resources required to satisfy the request.
- F. Routine summary reports will be made available to the public upon request, subject to the payment of an established fee and so long as such request can be met without unduly disrupting the on-going business of the courts.

G. Index Report

- An index report shall not contain confidential information as determined by Court Rules, Washington state law, and Federal law. In addition, the following data is confidential information:
 - a. social security numbers;
 - b. financial account numbers;
 - c. driver's license numbers;
 - d. dates of birth of a minor child;
 - e. party addresses and telephone numbers;
 - f. witness and victim addresses and phone numbers;

- g. abstract driving records as defined in RCW 46.52.130; and
- h. well identified person addresses and phone numbers.

COMMENT

The JISC Data Dissemination Policy adopted on May 19, 1995 limited public access to JIS data to an index report. Address information was not a data element included in that index report. The Data Dissemination Policy also prohibited public access to compiled reports. This policy predated the adoption of GR 31 and GR 22. Neither GR 15, GR 31 nor GR 22 provide for confidentiality of party addresses. A Confidential Information Form (CIF) promulgated by the Pattern Forms Committee must be completed and provided to the Clerk upon filing a family law matter or domestic violence petition. The current version of the CIF, as of 11/1/2016, provides a block which may be checked by a party providing: "the health, safety, or liberty of a party or child would be jeopardized by disclosure of address information because:________." See RCW 26.27.281(5). No additional security is provided in the JIS system by a party checking this block. A reasonable expectation of privacy in the address information on the CIF is created by checking this block.

The JIS system, including Odyssey, cannot differentiate the source of an address currently contained in the system.

- 2. No screen or report in a JIS system shall be made available for public dissemination if it contains confidential information, as defined in this section, notwithstanding any other provision of this policy.
- 3. An index report provided in electronic format shall be subject to the provisions contained in the data dissemination contract.
- 4. A local court or county clerk's office is not precluded by this policy from releasing, without redaction, a document or pleading containing a residential address, as this policy does not apply to documents filed with local courts or county clerks' offices.
- A local court or county clerk's office is not precluded by this policy from providing the address of a party or well identified person to a state agency to meet requirements of law or court rules.
- 6. A local court or county clerk's office is not precluded from providing the address of a party or well identified person for the purpose of conducting the court's or the county clerk's business.

H. Financial Data

- 1. Requests to courts or county clerks' offices will be handled by that individual office in the same manner as all other requests for court data.
- 2. Requests to the AOC for statewide financial court data or for an individual court's data will be handled in the following manner:

- a. Requestor will provide as much detail as possible regarding the specific financial information being requested. Explanations may include such information as specific codes, accounting or nonaccounting needs, statewide aggregate, court aggregate or case-bycase data, and court levels.
- b. The AOC will review the request and submit any clarifications to the requestor. Communications may need to take place between the AOC staff and the requestor so the parties know what is being asked for and what can be provided. The time taken for clarifications and meetings will be in addition to any time estimates given for compiling the data. Further, the requestor will be charged for the staff time under the approved cost recovery fee for research/programming.
- c. Prior to release of the report, the data will be reviewed by delegated court and/or county clerk representatives for accuracy and completeness. Review period for representatives will be ten (10) days. Any disputes between AOC and the court/county clerk representatives regarding the data contained in the reports shall be resolved by the JISC Data Dissemination Committee (DDC).

IV. JIS PRIVACY AND CONFIDENTIALITY POLICIES

- A. Information in JIS records which is sealed, exempted, or otherwise restricted by law, including court rule, whether or not directly applicable to the courts, may not be released except by specific court order, by statutory authority, or for research requests described in Section IV.C.
- B. Confidential information regarding individual litigants, witnesses, jurors, or well identified persons that is contained in case management systems of the courts will not be disseminated. Identifying information (including, but not limited to, residential addresses and personal phone numbers) regarding individual litigants, witnesses, jurors, or well identified persons will not be disseminated, except that the residential addresses of litigants will be available to the extent otherwise permitted by law and court rule.
- C. A data dissemination administrator may provide data for a research report when the identification of specific individuals is ancillary to the purpose of the research, the data will not be sold or otherwise distributed to third parties, and the requestor agrees to maintain the confidentiality required by these policies. In such instances, the requestor shall complete a research agreement in a form prescribed by the AOC. The research agreement shall: 1) require the requestor to explain provisions for the secure protection of any data that is confidential, using physical locks, computer passwords, and/or encryption; 2) prohibit the disclosure of data in any form which identifies an individual; 3) prohibit the copying or duplication of information or data provided other than for the stated research, evaluative, or statistical purpose.

V. LIMITATION ON DISSEMINATION OF JUVENILE OFFENDER COURT RECORDS*

The dissemination of juvenile offender court records maintained in the Judicial Information System shall be limited as follows:

- A. Juvenile offender court records shall be excluded from any bulk distribution of JIS records by the AOC otherwise authorized by GR 31(g), except for research purposes as permitted by statute or court rule.
- B. The AOC shall not display any information from an official juvenile offender court record on a publicly-accessible website that is a statewide index of court cases.
- * Juvenile offender court records shall remain publicly accessible on the JIS Link notwithstanding any provision of this section. (Section added September 6, 2013.)

VI. PROCEDURES

- A. Uniform procedures for requesting JIS information, and for the appeal of decisions of data dissemination administrators, shall be set forth in policies issued by the AOC pursuant to JISCR 15(d).
- B. In any case where a report is provided, the report must be accompanied by a suitable disclaimer noting that the court, the county clerk's office, and the AOC can make no representations regarding the identity of any persons whose names appear in the report, and can make no representations as to the accuracy and completeness of the data except for court purposes. Courts, county clerks' offices, or their associations may apply to the JIS Data Dissemination Committee (DDC) for an exemption to the disclaimer for specific routine summary reports that are generated in such a manner that makes the accompaniment difficult. The exemption request should include an explanation as to why producing the disclaimer is difficult for that particular report.

VII. ACCESS TO AND USE OF DATA BY COURTS

The courts, the county clerks' offices, and their employees may access and use JIS records only for the purpose of conducting official court business. Such access and use shall be governed by appropriate security policies and procedures. Each year, all court staff, county clerk staff, and anyone receiving access from a court or a county clerk's office, including prosecutors and public defenders with access to the Judicial Access Browser System (JABS), will sign a confidentiality agreement by January 31. The courts and the county clerks' offices will then submit a Statement of Compliance to the AOC by March 31 confirming that their staff and any other users receiving access from their office have executed the agreements. This requirement does not apply to subscribers to

portals (i.e. Odyssey Portal or comparable systems) which furnish access to court data, provided that the subscription or user agreement for such systems includes conditions establishing confidentiality and limitations on the dissemination of court data obtained through such systems.

VIII. ACCESS TO AND USE OF DATA BY CRIMINAL JUSTICE AGENCIES AND BY THE WASHINGTON STATE ATTORNEY GENERAL'S OFFICE, THE WASHINGTON STATE OFFICE OF PUBLIC DEFENSE, AND THE WASHINGTON STATE OFFICE OF CIVIL LEGAL AID

- A. "Criminal justice agencies" as defined in chapter 10.97 RCW shall have additional access to JIS records beyond that which is permitted the public.
- B. The JISC shall approve the access level and permitted use(s) for classes of criminal justice agencies including, but not limited to, law enforcement, prosecutors, and corrections. An agency that is not covered by a class may request access.
- C. Agencies requesting access under this provision shall identify the information requested and the proposed use(s).
- D. Access by criminal justice agencies shall be governed by a data dissemination contract with each such agency. The contract shall:
 - 1. Specify the data to which access is granted.
 - 2. Specify the uses which the agency may make of the data.
 - 3. Include the agency's agreement that its employees will access the data only for the uses specified.
- E. The Washington State Attorney General's Office will be provided additional access to JIS records for those cases in which it represents the State.
- F. The Washington State Office of Public Defense will be provided additional access to JIS records for those cases in which it is responsible for indigent defense services, and/or has a right to access under RCW 13.50.010(13).
- G. The Washington State Office of Civil Legal Aid will be provided additional access to JIS records for those cases for which it has a right of access under RCW 13.50.010(14).

IX. ACCESS TO AND USE OF DATA BY PUBLIC PURPOSE AGENCIES

A. "Public purpose agency" includes governmental agencies included in the definition of "agency" in RCW 42.56.010 and other non-profit organizations whose principal function is to provide services to the public.

- B. A public purpose agency may request court records not publicly accessible for scholarly, governmental, or research purposes where the identification of specific individuals is ancillary to the purpose of the request.
- C. Agencies requesting additional access under this provision shall identify the information requested and the proposed use(s). In reviewing such requests, the courts, the county clerks' offices, and the JISC will consider such criteria as:
 - 1. The extent to which access will result in efficiencies in the operation of a court or courts.
 - 2. The extent to which access will enable the fulfillment of a legislative mandate.
 - 3. The extent to which access will result in efficiencies in other parts of the criminal justice system.
 - 4. The risks created by permitting such access.

The courts, the county clerks' offices, and the JISC must determine that fulfilling the request will not violate GR 31, and must determine the minimum access to restricted court records necessary for the purpose of the request.

- D. Access by public purpose agencies shall be governed by a data dissemination contract. The contract shall:
 - 1. Require the requestor to specify provisions for the secure protection of any data that is confidential.
 - 2. Prohibit the disclosure of data in any form which identifies an individual.
 - 3. Prohibit the copying, duplication, or dissemination of information or data provided other than for the stated purpose.
 - 4. Maintain a log of any distribution of court records which will be open and available for audit by the court, the county clerk's office or the AOC. Any audit should verify that the court records are being appropriately used and in a manner consistent with GR 31.

X. VERSION HISTORY

These policies shall take effect 30 days from the date of their adoption by the JISC, May 19, 1995.

- Adopted May 19, 1995
- Amended June 21, 1996
- Amended September 20, 1996
- Amended June 6, 1997
- Amended December 5, 1997
- Amended February 27, 1998
- Amended June 26, 1998
- Amended September 6, 2013
- All sections but section V amended June 19, 2017

GR 15 DESTRUCTION, SEALING, AND REDACTION OF COURT RECORDS

(a) Purpose and Scope of the Rule. This rule sets forth a uniform procedure for the destruction, sealing, and redaction of court records. This rule applies to all court records, regardless of the physical form of the court record, the method of recording the court record, or the method of storage of the court record.

(b) Definitions.

- (1) "Court file" means the pleadings, orders, and other papers filed with the clerk of the court under a single or consolidated cause number(s).
 - (2) "Court record" is defined in GR 31(c)(4).
- (3) *Destroy*. To destroy means to obliterate a court record or file in such a way as to make it permanently irretrievable. A motion or order to expunge shall be treated as a motion or order to destroy.
- (4) *Seal*. To seal means to protect from examination by the public and unauthorized court personnel. A motion or order to delete, purge, remove, excise, erase, or redact shall be treated as a motion or order to seal.
- (5) *Redact*. To redact means to protect from examination by the public and unauthorized court personnel a portion or portions of a specified court record.
 - (6) Restricted Personal Identifiers are defined in GR 22(b)(6).
 - (7) Strike. A motion or order to strike is not a motion or order to seal or destroy.
 - (8) Vacate. To vacate means to nullify or cancel.

(c) Sealing or Redacting Court Records.

- (1) In a civil case, the court or any party may request a hearing to seal or redact the court records. In a criminal case or juvenile proceeding, the court, any party, or any interested person may request a hearing to seal or redact the court records. Reasonable notice of a hearing to seal must be given to all parties in the case. In a criminal case, reasonable notice of a hearing to seal or redact must also be given to the victim, if ascertainable, and the person or agency having probationary, custodial, community placement, or community supervision over the affected adult or juvenile. No such notice is required for motions to seal documents entered pursuant to CrR 3.1(f) or CrRLJ 3.1(f).
- (2) After the hearing, the court may order the court files and records in the proceeding, or any part thereof, to be sealed or redacted if the court makes and enters written findings that the specific sealing or redaction is justified by identified compelling privacy or safety concerns that outweigh the public interest in access to the court record. Agreement of the parties alone does not constitute a sufficient basis for the sealing or redaction of court records. Sufficient privacy or safety concerns that may be weighed against the public interest include findings that:
 - (A) The sealing or redaction is permitted by statute; or
- (B) The sealing or redaction furthers an order entered under CR 12(f) or a protective order entered under CR 26(c); or
 - (C) A conviction has been vacated; or

- (D) The sealing or redaction furthers an order entered pursuant to RCW 4.24.611; or
- (E) The redaction includes only restricted personal identifiers contained in the court record; or
 - (F) Another identified compelling circumstance exists that requires the sealing or redaction.
- (3) A court record shall not be sealed under this section when redaction will adequately resolve the issues before the court pursuant to subsection (2) above.
- (4) Sealing of Entire Court File. When the clerk receives a court order to seal the entire court file, the clerk shall seal the court file and secure it from public access. All court records filed thereafter shall also be sealed unless otherwise ordered. The existence of a court file sealed in its entirety, unless protected by statute, is available for viewing by the public on court indices. The information on the court indices is limited to the case number, names of the parties, the notation "case sealed," the case type and cause of action in civil cases and the cause of action or charge in criminal cases, except where the conviction in a criminal case has been vacated, section (d) shall apply. The order to seal and written findings supporting the order to seal shall also remain accessible to the public, unless protected by statute.

Comment

See, e.g., chapter 13.50 RCW, which requires that sealed juvenile adjudications be "treated as if they never occurred," and no information can be given about the existence or nonexistence of records concerning an individual.

- (5) Sealing of Specified Court Records. When the clerk receives a court order to seal specified court records the clerk shall:
- (A) On the docket, preserve the docket code, document title, document or subdocument number and date of the original court records;
- (B) Remove the specified court records, seal them, and return them to the file under seal or store separately. The clerk shall substitute a filler sheet for the removed sealed court record. If the court record ordered sealed exists in a microfilm, microfiche or other storage medium form other than paper, the clerk shall restrict access to the alternate storage medium so as to prevent unauthorized viewing of the sealed court record; and
- (C) File the order to seal and the written findings supporting the order to seal. Both shall be accessible to the public.
- (D) Before a court file is made available for examination, the clerk shall prevent access to the sealed court records.
- (6) *Procedures for Redacted Court Records*. When a court record is redacted pursuant to a court order, the original court record shall be replaced in the public court file by the redacted copy. The redacted copy shall be provided by the moving party. The original unredacted court record shall be sealed following the procedures set forth in (c)(5).
- (d) Procedures for Vacated Criminal Convictions. In cases where a criminal conviction has been vacated and an order to seal entered, unless protected by statue, the information in the public court indices shall be limited to the case number, case type with the notification "DV" if the case involved domestic violence, the adult or juvenile's name, and the notation "vacated."

Comment

See, e.g., chapter 13.50 RCW, which requires that sealed juvenile adjudications be "treated as if they never occurred," and no information can be given about the existence or nonexistence of records concerning an individual. Per *State v. Garza*, 200 Wn.2d 449 (2022), a juvenile

adjudication is an order and finding within the meaning of chapter 13.50 RCW and is eligible for vacation.

(e) Grounds and Procedure for Requesting the Unsealing of Sealed Records.

- (1) Sealed court records may be examined by the public only after the court records have been ordered unsealed pursuant to this section or after entry of a court order allowing access to a sealed court record.
- (2) Criminal Cases. A sealed court record in a criminal case shall be ordered unsealed only upon proof of compelling circumstances, unless otherwise provided by statute, and only upon motion and written notice to the persons entitled to notice under subsection (c)(1) of this rule except:
- (A) If a new criminal charge is filed and the existence of the conviction contained in a sealed record is an element of the new offense, or would constitute a statutory sentencing enhancement, or provide the basis for an exceptional sentence, upon application of the prosecuting attorney the court shall nullify the sealing order in the prior sealed case(s).
- (B) If a petition is filed alleging that a person is a sexually violent predator, upon application of the prosecuting attorney the court shall nullify the sealing order as to all prior criminal records of that individual.
- (3) Civil Cases. A sealed court record in a civil case shall be ordered unsealed only upon stipulation of all parties or upon motion and written notice to all parties and proof that identified compelling circumstances for continued sealing no longer exist, or pursuant to RCW 4.24 or CR 26(j). If the person seeking access cannot locate a party to provide the notice required by this rule, after making a good faith reasonable effort to provide such notice as required by the Superior Court Rules, an affidavit may be filed with the court setting forth the efforts to locate the party and requesting waiver of the notice provision of this rule. The court may waive the notice requirement of this rule if the court finds that further good faith efforts to locate the party are not likely to be successful.
- (4) Juvenile Proceedings. Inspection of a sealed juvenile court record is permitted only by order of the court upon motion made by the person who is the subject of the record, except as otherwise provided in RCW 13.50.010(8) and 13.50.050(23). Any adjudication of a juvenile offense or a crime subsequent to sealing has the effect of nullifying the sealing order, pursuant to RCW 13.50.050(16).
- **(f) Maintenance of Sealed Court Records.** Sealed court records are subject to the provisions of RCW 36.23.065 and can be maintained in mediums other than paper.
- (g) Use of Sealed Records on Appeal. A court record or any portion of it, sealed in the trial court shall be made available to the appellate court in the event of an appeal. Court records sealed in the trial court shall be sealed from public access in the appellate court subject to further order of the appellate court.

(h) Destruction of Court Records.

- (1) The court shall not order the destruction of any court record unless expressly permitted by statute. The court shall enter written findings that cite the statutory authority for the destruction of the court record.
- (2) In a civil case, the court or any party may request a hearing to destroy court records only if there is express statutory authority permitting the destruction of the court records. In a criminal case or juvenile proceeding, the court, any party, or any interested person may request a hearing

to destroy the court records only if there is express statutory authority permitting the destruction of the court records. Reasonable notice of the hearing to destroy must be given to all parties in the case. In a criminal case, reasonable notice of the hearing must also be given to the victim, if ascertainable, and the person or agency having probationary, custodial, community placement, or community supervision over the affected adult or juvenile.

- (3) When the clerk receives a court order to destroy the entire court file the clerk shall:
- (A) Remove all references to the court records from any applicable information systems maintained for or by the clerk except for accounting records, the order to destroy, and the written findings. The order to destroy and the supporting written findings shall be filed and available for viewing by the public.
 - (B) The accounting records shall be sealed.
 - (4) When the clerk receives a court order to destroy specified court records the clerk shall;
- (A) On the automated docket, destroy any docket code information except any document or sub-document number previously assigned to the court record destroyed, and enter "Order Destroyed" for the docket entry;
- (B) Destroy the appropriate court records, substituting, when applicable, a printed or other reference to the order to destroy, including the date, location, and document number of the order to destroy; and
- (C) File the order to destroy and the written findings supporting the order to destroy. Both the order and the findings shall be publicly accessible.
- (5) This subsection shall not prevent the routine destruction of court records pursuant to applicable preservation and retention schedules.
- (i) Trial Exhibits. Notwithstanding any other provision of this rule, trial exhibits may be destroyed or returned to the parties if all parties so stipulate in writing and the court so orders.
- (j) Effect on Other Statutes. Nothing in this rule is intended to restrict or to expand the authority of clerks under existing statutes, nor is anything in this rule intended to restrict or expand the authority of any public auditor, or the Commission on Judicial Conduct, in the exercise of duties conferred by statute.

[Adopted effective September 22, 1989; Amended effective September 1, 1995; June 4, 1997; June 16, 1998; September 1, 2000; October 1, 2002; July 1, 2006; April 28, 2015; June 27, 2023.]

JISCR 15 DATA DISSEMINATION OF COMPUTER-BASED COURT INFORMATION

It is declared to be the policy of the courts to facilitate public access to court records, provided such disclosures in no way present an unreasonable invasion of personal privacy and will not be unduly burdensome to the ongoing business of the courts.

Due to the confidential nature of some court information, authority over the dissemination of such information shall be exercised by the judicial branch. This rule establishes the minimum criteria to be met by each information request before allowing dissemination.

- (a) **Application.** This rule applies to all requests for computer-based court information submitted by an individual, as well as public and private associations and agencies. This rule does not apply to requests initiated by or with the consent of the Administrator for the Courts for the purpose of answering a request vital to the internal business of the courts.
- **(b) Excluded Information.** Records sealed, exempted, or otherwise restricted by law or court rule may not be released to the general public except by court order.
 - (c) Data Dissemination Committee. [Rescinded.]
- (d) Data Dissemination Policies and Procedures. The Administrator for the Courts shall promulgate policies and procedures for handling applications for computer-based information. These policies and procedures shall be subject to the approval of the Judicial Information System Committee.
- **(e) Information for Release of Data.** Information which must be supplied by the requestor and upon which evaluation will be made includes:
 - (1) Identifying information concerning the applicant;
 - (2) Statement of the intended use and distribution;
 - (3) Type of information needed.
- **(f) Criteria To Determine Release of Data.** The criteria against which the applications are evaluated are as follows:
 - (1) Availability of data;
 - (2) Specificity of the request;
- (3) Potential for infringement of personal privacy created by release of the information requested;
 - (4) Potential disruption to the internal, ongoing business of the courts.
- (g) Cost. The requestor shall bear the cost of honoring the request for information in accordance with section (d).
- (h) Appeal. If a request is denied by the Administrator for the Courts, the requestor may appeal the decision to the Judicial Information System Committee in accordance with section (d). The Judicial Information System Committee shall review and act upon the appeal in accordance with procedures promulgated by the Committee for this purpose.

[Adopted effective March 20, 1981; Amended effective July 1, 1987.]

PDF

RCW 13.50.260

Sealing hearings—Sealing of records.

- (1)(a) The court shall hold regular sealing hearings. During these regular sealing hearings, the court shall administratively seal an individual's juvenile record pursuant to the requirements of this subsection. Although the juvenile record shall be sealed, the social file may be available to any juvenile justice or care agency when an investigation or case involving the juvenile subject of the records is being prosecuted by the juvenile justice or care agency or when the juvenile justice or care agency is assigned the responsibility of supervising the juvenile. The juvenile respondent's presence is not required at any administrative sealing hearing.
- (b) At the disposition hearing of a juvenile offender, the court shall schedule an administrative sealing hearing to take place during the first regularly scheduled sealing hearing after the latest of the following events that apply:
 - (i) The respondent's eighteenth birthday;
 - (ii) Anticipated end date of a respondent's probation, if ordered;
- (iii) Anticipated release from confinement at the juvenile rehabilitation administration, or the completion of parole, if the respondent is transferred to the juvenile rehabilitation administration.
- (c) The court shall not schedule an administrative sealing hearing at the disposition and no administrative sealing hearing shall occur if one of the offenses for which the court has entered a disposition is at the time of commission of the offense:
 - (i) A most serious offense, as defined in RCW 9.94A.030;
 - (ii) A sex offense under chapter 9A.44 RCW; or
 - (iii) A drug offense, as defined in RCW 9.94A.030.
- (d) At the time of the scheduled administrative sealing hearing, the court shall enter a written order sealing the respondent's juvenile court record pursuant to this subsection if the court finds by a preponderance of the evidence that the respondent is no longer on supervision for the case being considered for sealing and has paid the full amount of restitution owing to the individual victim named in the restitution order, excluding restitution owed to any public or private entity providing insurance coverage or health care coverage. In determining whether the respondent is on supervision or owes restitution, the court shall take judicial notice of court records, including records of the county clerk, and, if necessary, sworn testimony from a representative of the juvenile department.
- (e) At the time of the administrative sealing hearing, if the court finds the respondent remains on supervision for the case being considered for sealing, then the court shall continue the administrative sealing hearing to a date within thirty days following the anticipated end date of the respondent's supervision. At the next administrative sealing hearing, the court shall again determine the respondent's eligibility for sealing his or her juvenile court record pursuant to (d) of this subsection, and, if necessary, continue the hearing again as provided in this subsection.
- (f)(i) During the administrative sealing hearing, if the court finds the respondent is no longer on supervision for the case being considered for sealing, but the respondent has not paid the full amount of restitution owing to the individual victim named in the restitution order, excluding any public or private entity providing insurance coverage or health care coverage, the court shall deny sealing the juvenile court record in a written order that: (A) Specifies the amount of restitution that remains unpaid to the original victim, excluding any public or private entity providing insurance coverage or health care coverage; and (B) provides direction to the respondent on how to pursue the sealing of records associated with this cause of action.
- (ii) Within five business days of the entry of the written order denying the request to seal a juvenile court record, the juvenile court department staff shall notify the respondent of the denial by providing a copy of the order of denial to the respondent in person or in writing mailed to the

respondent's last known address in the department of licensing database or the respondent's address provided to the court, whichever is more recent.

- (iii) At any time following entry of the written order denying the request to seal a juvenile court record, the respondent may contact the juvenile court department, provide proof of payment of the remaining unpaid restitution to the original victim, excluding any public or private entity providing insurance coverage or health care coverage, and request an administrative sealing hearing. Upon verification of the satisfaction of the restitution payment, the juvenile court department staff shall circulate for signature an order sealing the file, and file the signed order with the clerk's office, who shall seal the record.
- (iv) The administrative office of the courts must ensure that sealed juvenile records remain private in case of an appeal and are either not posted or redacted from any clerks papers that are posted online with the appellate record, as well as taking any other prudent steps necessary to avoid exposing sealed juvenile records to the public.
- (2) Except for dismissal of a deferred disposition under RCW **13.40.127**, the court shall enter a written order immediately sealing the official juvenile court record upon the acquittal after a fact finding or upon the dismissal of charges with prejudice, subject to the state's right, if any, to appeal the dismissal.
- (3) If a juvenile court record has not already been sealed pursuant to this section, in any case in which information has been filed pursuant to RCW 13.40.100 or a complaint has been filed with the prosecutor and referred for diversion pursuant to RCW 13.40.070, the person who is the subject of the information or complaint may file a motion with the court to have the court vacate its order and findings, if any; resolve the status of any debts owing; and, subject to RCW 13.50.050(13), order the sealing of the official juvenile court record, the social file, and records of the court and of any other agency in the case, with the exception of identifying information under RCW 13.50.050(13).
- (4)(a) The court shall grant any motion to seal records for class A offenses made pursuant to subsection (3) of this section if:
- (i) Since the last date of release from confinement, including full-time residential treatment, if any, or entry of disposition, the person has spent five consecutive years in the community without committing any offense or crime that subsequently results in an adjudication or conviction;
- (ii) No proceeding is pending against the moving party seeking the conviction of a juvenile offense or a criminal offense;
 - (iii) No proceeding is pending seeking the formation of a diversion agreement with that person;
- (iv) The person is no longer required to register as a sex offender under RCW **9A.44.130** or has been relieved of the duty to register under RCW **9A.44.143** if the person was convicted of a sex offense:
- (v) The person has not been convicted of rape in the first degree, rape in the second degree, or indecent liberties that was actually committed with forcible compulsion; and
- (vi) The person has paid the full amount of restitution owing to the individual victim named in the restitution order, excluding restitution owed to any public or private entity providing insurance coverage or health care coverage.
- (b) The court shall grant any motion to seal records for class B, class C, gross misdemeanor, and misdemeanor offenses and diversions made under subsection (3) of this section if:
- (i) Since the date of last release from confinement, including full-time residential treatment, if any, entry of disposition, or completion of the diversion agreement, the person has spent two consecutive years in the community without being convicted of any offense or crime;
- (ii) No proceeding is pending against the moving party seeking the conviction of a juvenile offense or a criminal offense:
 - (iii) No proceeding is pending seeking the formation of a diversion agreement with that person;
- (iv) The person is no longer required to register as a sex offender under RCW **9A.44.130** or has been relieved of the duty to register under RCW **9A.44.143** if the person was convicted of a sex

offense; and

- (v) The person has paid the full amount of restitution owing to the individual victim named in the restitution order, excluding restitution owed to any insurance provider authorized under Title 48 RCW.
- (c) Notwithstanding the requirements in (a) or (b) of this subsection, the court shall grant any motion to seal records of any deferred disposition vacated under RCW **13.40.127**(9) prior to June 7, 2012, if restitution has been paid and the person is eighteen years of age or older at the time of the motion.
- (5) The person making a motion pursuant to subsection (3) of this section shall give reasonable notice of the motion to the prosecution and to any person or agency whose records are sought to be sealed.
- (6)(a) If the court enters a written order sealing the juvenile court record pursuant to this section, it shall, subject to RCW 13.50.050(13), order sealed the official juvenile court record, the social file, and other records relating to the case as are named in the order. Thereafter, the proceedings in the case shall be treated as if they never occurred, and the subject of the records may reply accordingly to any inquiry about the events, records of which are sealed. Any agency shall reply to any inquiry concerning confidential or sealed records that records are confidential, and no information can be given about the existence or nonexistence of records concerning an individual.
- (b) In the event the subject of the juvenile records receives a full and unconditional pardon, the proceedings in the matter upon which the pardon has been granted shall be treated as if they never occurred, and the subject of the records may reply accordingly to any inquiry about the events upon which the pardon was received. Any agency shall reply to any inquiry concerning the records pertaining to the events for which the subject received a pardon that records are confidential, and no information can be given about the existence or nonexistence of records concerning an individual.
- (c) Effective July 1, 2019, the department of licensing may release information related to records the court has ordered sealed only to the extent necessary to comply with federal law and regulation.
- (7) Inspection of the files and records included in the order to seal may thereafter be permitted only by order of the court upon motion made by the person who is the subject of the information or complaint, except as otherwise provided in RCW 13.50.010(8) and 13.50.050(13).
- (8)(a) Any adjudication of a juvenile offense or a crime subsequent to sealing has the effect of nullifying a sealing order; however, the court may order the juvenile court record resealed upon disposition of the subsequent matter if the case meets the sealing criteria under this section and the court record has not previously been resealed.
- (b) Any charging of an adult felony subsequent to the sealing has the effect of nullifying the sealing order.
- (c) The administrative office of the courts shall ensure that the superior court judicial information system provides prosecutors access to information on the existence of sealed juvenile records.
- (d) The Washington state patrol shall ensure that the Washington state identification system provides Washington state criminal justice agencies access to sealed juvenile records information.
- (e) The Washington state patrol shall ensure that the Washington state identification system provides non-Washington criminal justice agencies access to sealed juvenile records only for the purposes of processing and purchasing firearms, concealed pistol licenses, or alien firearms licenses, or releasing of firearms from evidence.
- (f) Non-Washington criminal justice agencies that access sealed juvenile records pursuant to this subsection shall not knowingly disseminate the accessed records or any information derived therefrom to any third party. Dissemination of such records or such information shall subject the disseminating agency to the jurisdiction of the courts of Washington and a civil penalty of not more than \$1,000 per violation.

- (9) If the juvenile court record has been sealed pursuant to this section, the record of an employee is not admissible in an action for liability against the employer based on the former juvenile offender's conduct to show that the employer knew or should have known of the juvenile record of the employee. The record may be admissible, however, if a background check conducted or authorized by the employer contained the information in the sealed record.
- (10) County clerks may interact or correspond with the respondent, his or her parents, restitution recipients, and any holders of potential assets or wages of the respondent for the purposes of collecting an outstanding legal financial obligation after juvenile court records have been sealed pursuant to this section.
- (11) Persons and agencies that obtain sealed juvenile records information pursuant to this section may communicate about this information with the respondent, but may not disseminate or be compelled to release the information to any person or agency not specifically granted access to sealed juvenile records in this section.
- (12) All criminal justice agencies must not disclose confidential information or sealed records accessed through the Washington state identification system or other means, and no information can be given to third parties, other than criminal justice agencies, about the existence or nonexistence of confidential or sealed records concerning an individual.

[2023 c 180 s 1; 2020 c 184 s 1; 2015 c 265 s 3; 2014 c 175 s 4.]

NOTES:

Retroactive application—2020 c 184: "This act applies to all juvenile record sealing hearings commenced on or after January 1, 2021, regardless of when the underlying hearing was scheduled or the underlying record was created. To this extent, this act applies retroactively, but in all other respects it applies prospectively." [2020 c 184 s 4.]

Effective date—2020 c 184: "Sections 1, 2, and 4 of this act take effect January 1, 2021." [2020 c 184 s 5.]

Finding—Intent—2015 c 265: See note following RCW 13.50.010.

Findings—Intent—2014 c 175: See note following RCW 13.50.010.

PDF

RCW 13.50.280

Court and judicial agency records—Use for research or data gathering purposes.

- (1) Courts and judicial agencies that maintain a database of juvenile records may provide those records, whether sealed or not, to government agencies for the purpose of carrying out research or data gathering functions. This data may also be linked with records from other agencies or research organizations, provided that any agency receiving or using records under this subsection maintain strict confidentiality of the identity of the juveniles who are the subjects of such records.
- (2) Juvenile records, whether sealed or not, can be provided without personal identifiers to researchers conducting legitimate research for educational, scientific, or public purposes, so long as the data is not used by the recipients of the records to identify an individual with a juvenile record.

[2015 c 265 s 9.]

NOTES:

Finding—Intent—2015 c 265: See note following RCW 13.50.010.

ADMINISTRATIVE OFFICE OF THE COURTS REQUEST FOR INFORMATION

The following information is necessary for us to process your request for data from the Judicial Information System (JIS). Please complete this form and return it to:

Data Dissemination Administrator
Office of the Administrator for the Courts
PO Box 41170
Olympia, WA 98504-1170
fax: 360-956-5700

e-mail: dda@courts.wa.gov

** Do not send payment with this form. You will be invoiced at a later date**

Your request is subject to approval under the provisions of JISCR 15, the JIS Data Dissemination Policy, and the local Data Dissemination Policy and Procedures. Upon receipt of a completed form, AOC staff will review the request, contact you with questions or clarifications, and provide you cost/time estimates.

Name:
Agency or Company:
E-Mail Address:
Address:
City: State: Postal Code:
Day or Work Phone (with area code):
Information Requested (Please describe in detail. Continue on page three if necessary.):
What will the information be used for?
To whom will the data be disseminated?

	s a named individual, please mber, most current address (give necessary identifying information (i.e. date etc.):
,		
Date information is needed	d:	
•	•	sts that require generation of a report ctronic documents such as dockets or
Administrative Fee		\$62.00 / report
Data Warehouse Evaluation/Research Programming		\$68.00 / hour
Data Reporting Evaluation/Research		\$67.00 / hour
JIS System Run Time		\$15.00 / minute or portion thereof
(two minute minimum) Materials		\$1.00 / page
		\$12.00 / compact disc
Medium Requested:	Paper (\$1.00/page, compu	ter generated)
CD (\$12.00/each)		
	E-mail - electronic file sent a	as an attachment
statement of intent • Agree not to use to	ded use; he data received under this	only as provided in the above referenced request for the commercial solicitation of mination Policy III.C; GR 31(g)(3));
	•	cost quoted or invoiced bythe
Administrative Off	ice of the Courts;	
	ate County Clerks make no	the Courts, the Washington Courts, and prepresentation as to the accuracy or
 Agree to indemnify any claims or dam 	and hold harmless the Adages arising from the use a	ministrative Office of the Courts from and distribution of the information responsive
to this request; and Certify, under penal complete description	alty of law, that all the infor	mation supplied above is true and a
Signature of Requestor		Date

Typed name will be accepted as signature when document is submitted electronically.

Please use this page for more detailed responses or comments.			